

#### WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



# INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : C12N 15/00, 15/29, 15/82, A01H		A3	(1	1) International Publication Number	: WO 99/66026
3/00, 4/00, 5/00	j	AS	(4	3) International Publication Date:	23 December 1999 (23.12.99
(21) International Application Number:	PCT/US	99/135	84	(81) Designated States: AL, AM, AT	Г, AU, AZ, BA, BB, BG, BR

(30) Priority Data:

60/089,322

(22) International Filing Date:

15 June 1998 (15.06.98)

US

15 June 1999 (15.06.99)

(71) Applicant: JOHN INNES CENTRE [GB/GB]; Norwich Research Park, Colney, Norwich NR4 7UH (GB).

(71)(72) Applicant and Inventor: CHRISTOU, Paul [US/GB]: Norwich Research Park, Colney, Norwich NR4 7UH (GB).

(72) Inventors: STROGER, Eva; Norwich Research Park, Colney, Norwich NR47UH (GB). FISCHER, Rainer, Worringerweg 1, D-52074 Aachen (DE). MARTIN-VAQUERO, Carmen: Norwich Research Park, Colney, Norwich NR4 74H (GB). SCHILLBERG, Stefan; Norwich Research Park, Colney, Norwich NR4 7UH (GB). MA, Julian, K.-C.; UMDS, Guy's Hospital, 28th floor, Guy's Tower, St. Thomas Street, London SE1 9RT (GB).

(74) Agent: SCHOFIELD, Mary, Anne; Fulbright & Jaworski L.L.P., 666 Fifth Avenue, New York, NY 10103 (US).

Z, DE, DK, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, UZ, VN, YU, ZA, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SL, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GW, ML, MR, NE, SN, TD, TG).

#### Published

With international search report.

Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.

(88) Date of publication of the international search report:

27 January 2000 (27.01.00)

(54) Title: METHODS AND MEANS FOR EXPRESSION OF MAMMALIAN POLYPEPTIDES IN MONOCOTYLEDONOUS PLANTS

Promoter	5'UTR	Leader peptide	Gene of interest	sig	3'utr	pA .
				l i		

#### (57) Abstract

Rice, wheat and other monocotyledonous plants are transformed with expression cassettes for production of mammalian polypeptides, such as antibodies. Endoplasmic reticulum (ER) retention signals, 5'untranslated regions and leader peptides are employed in various combinations to provide high expression yield. Multi-chain complexes such as four-chain secretory antibodies are produced by expression of component polypeptides from separate vectors all introduced into the same cell by transformation.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL AM AT AU AZ BA BB BB BB BC BJ BR BY CA CF CG CH CI CM CN CV DE DK EE	Albania Armenia Austria Austria Australia Azerbaijan Bosnia and Herzegovina Barbados Belgium Burkina Faso Bulgaria Benin Brazil Belarus Canada Central African Republic Congo Switzerland Côte d'Ivoire Cameroon China Cuba Czech Republic Germany Denmark Estonia	ES FI FR GA GB GE GN GR HU IB IL IS IT JP KE KC KP LC LI LK LR	Spain Finland France Gabon United Kingdom Georgia Ghana Guinea Greece Hungary Ireland Israel Iceland Italy Japan Kenya Kyrgyzstan Democratic People's Republic of Korea Republic of Korea Kazakstan Saint Lucia Liechtenstein Sri Lanka Liberia	LS LT LU LV MC MD MG MK ML MN MR MN MR MV MX NE NL NO NZ PL PT RO RU SD SE SG	Lesotho Lithuania Luxem bourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia Mauritania Malawi Mexico Niger Netherlands Norway New Zealand Poland Portugal Romania Russian Federation Sudan Sweden Singapore	SI SK SN SZ TD TG TJ TM TR TT UA UG US UZ VN YU ZW	Slovenia Slovakia Senegal Swaziland Chad Togo Tajikistan Turkey Trinidad and Tobago Ukraine Uganda United States of America Uzbekistan Viet Nam Yugoslavia Zimbabwe
---	--	--	---	---	--	--	---

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/13584

	SSIFICATION OF SUBJECT MATTER :C12N 15/00, 15/29, 15/82; A01H 3/00, 4/00, 5/0	0	
` ` `	:Please See Extra Sheet.	•	
According	to International Patent Classification (IPC) or to bot	h national classification and IPC	
	LDS SEARCHED		<del> </del>
Minimum d	locumentation searched (classification system follow	ed by classification symbols)	
U.S. :	435/69.1, 320.1, 419, 440, 536/23.6, 24,1, 23.7; 8	800/278, 295, 298, 320, 320.1, 320,2	
Documenta	tion searched other than minimum documentation to th	e extent that such documents are included	in the fields searched
Electronic o	data base consulted during the international search (n	name of data base and, where practicable	e, search terms used)
	CABA, CAPLUS, GENBANK rms: monocot, corn, wheat, immunoglobulin, antibo	dy, immunize, endoplasmic reticulum	
c. Doc	UMENTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where a	ppropriate, of the relevant passages	Relevant to claim No.
Y	MA et al. Plant Antibodies for Imp 1995, Vol. 109, pages 341-346, see et		1, 3-5, 7-34
Y	HIEI et al. Efficient transformation mediated by Agrobacterium and sequent of the T-DNA. The Plant Journal. 199282, see entire document.	-	1, 3-5, 7-34
Furth	er documents are listed in the continuation of Box C	See patent family annex.	
<u> </u>	cial categories of cited documents:	"T" later document published after the inte	
'A' doc	ument defining the general state of the art which is not considered	date and not in conflict with the appli the principle or theory underlying the	
	se of particular relevance tier document published on or after the international filing date	"X" document of particular relavance; the	
"L" doc	ument which may throw doubts on priority claim(s) or which is	considered novel or cannot be consider when the document is taken alone	en m manue en maeunas steb
	d to establish the publication date of another citation or other cial reason (as specified)	"Y" document of particular relevance; the considered to involve an inventive	
mer	· ·	combined with one or more other such being obvious to a person skilled in t	documents, such combination
"P" doc	nument published prior to the internstional filing date but later than priority date claimed	"&" document member of the same patent	
	actual completion of the international search  MBER 1999	Date of mailing of the international see <b>0 9 DEC</b> 19	
Commission	nailing address of the ISA/US ner of Patents and Trademarks	Authorized officer	BC
Box PCT Washington	, D.C. 20231	THOMAS HAAS	10
- 111. NI	_ /703\ 205 2220	l Telephone No. (703) 308-0196	· ·

# INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/13584 : n

. Observations where C	certain claims were found unsearchable (Continuation of item 1 of first sheet)
)X I Observations where not	been established in respect of certain claims under Article 17(2)(a) for the following reasons:
is international report has not	
Claims Nos.: because they relate t	to subject matter not required to be searched by this Authority, namely:
an extent that no me Cinims 2 and 6 are directly such claims 2 and 6 co	
	ependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).
Box II Observations where	e unity of invention is lacking (Continuation of item 2 of first sheet)
	Authority found multiple inventions in this international application, as follows:
	·
1. As all required ad	iditional search fees were timely paid by the applicant, this international search report covers all searchable
claims.	e claims could be searched without effort justifying an additional fee, this Authority did not invite paymen
2. As all searchable of any additional	e claims could be searched without effort justifying an additional fee, this Authority did not invite paymen
claims.  2. As all searchable of any additional  3. As only some of to only those claims	e claims could be searched without effort justifying an additional fee, this Authority did not invite payment I fee.
claims.  2. As all searchable of any additional  3. As only some of to only those claims	e claims could be searched without effort justifying an additional fee, this Authority did not invite payment fee.  the required additional search fees were timely paid by the applicant, this international search report cover for which fees were paid, specifically claims Nos.:

## INTERNATIONAL SEARCH REPORT

International application No. PCT/US99/13584

I	A. CLASSIFICATION OF SUBJECT MATTER: US CL :
	435/69.1, 320.1, 419, 440; 536/23.6, 24,1, 23.7; 800/278, 295, 298, 320, 320.1, 320,2
l	

· Λ.

•

.

# **PCT**

# WORLD INTELLECTUAL PROPERTY ORGANIZATION International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

				<del></del>		KEATT (FCT)
(51) International Patent Classification <sup>6</sup> :		(11) Internation	aal Pub	lication Numbe	r:	WO 99/66026
C12N	A2	(43) Internation	nal Pub	lication Date:	23 Decei	mber 1999 (23.12.99)
(21) International Application Number: PCT/US9		BY,	CA, CI	H, CN, CU, CZ,	DE, DK, I	Z, BA, BB, BG, BR, EE, ES, FI, GB, GD,
(22) International Filing Date: 15 June 1999 (1	15.06.9	KR,	KZ, LO	C, LK, LR, LS,	LT, LU,	IS, JP, KE, KG, KP, LV, MD, MG, MK, RU, SD, SE, SG, SI,
(30) Priority Data: 60/089,322 15 June 1998 (15.06.98)		SK, S ARII ZW), TM),	SL, TJ, PO pate , Eurasia	TM, TR, TT, Uent (GH, GM, Kan patent (AM, A	IA, UG, UZ IE, LS, MV AZ, BY, K	. RU, SD, SE, SG, SI, Z, VN, YU, ZA, ZW, W, SD, SL, SZ, UG, (G, KZ, MD, RU, TJ, CY, DE, DK, ES, FI,
(71) Applicant: JOHN INNES CENTRE [GB/GB]; Norwick Search Park, Colney, Norwich NR4 7UH (GB).	vich R	e- FR, (BF,	GB, GR	R, IÈ, IT, LÙ, N F, CG, CI, CM,	MC, NL, P	T, SE), OAPI patent GW, ML, MR, NE,
(71)(72) Applicant and Inventor: CHRISTOU, Paul [I Norwich Research Park, Colney, Norwich NR4 7U		]; ).	1 <i>D</i> , 10	).		
(72) Inventors: STROGER, Eva; Norwich Research Park, Norwich NR4 7UH (GB). FISCHER, Rainer, Worrie 1, D-52074 Aachen (DE). MARTIN-VAQUERO, Norwich Research Park, Colney, Norwich NR4 74! SCHILLBERG, Stefan; Norwich Research Park, Norwich NR4 7UH (GB). MA, Julian, KC.; Guy's Hospital, 28th floor, Guy's Tower, St. Thoma London SE1 9RT (GB).	ingerwe Carmer IH (GB Colney UMDS	g upon n; ). y, S,		rnational search of that report.	ı report ar	nd to be republished
(74) Agent: SCHOFIELD, Mary, Anne; Fulbright & J L.L.P., 666 Fifth Avenue, New York, NY 10103 (U		i				
(54) Title: METHODS AND MEANS FOR EXPRESSION	OF M	MMALIAN POL	YPEPT	TDES IN MONO	COTYLE	DONOUS PLANTS
Promoter 5°UTR Leader	Con	e of interest	sig	3'UTR		
Promoter 3'UIR peptide	Cent	Of Interest	Sic	Suik	pΑ	
			<u> </u>	1		j

#### (57) Abstract

Rice, wheat and other monocotyledonous plants are transformed with expression cassettes for production of mammalian polypeptides, such as antibodies. Endoplasmic reticulum (ER) retention signals, 5'untranslated regions and leader peptides are employed in various combinations to provide high expression yield. Multi-chain complexes such as four-chain secretory antibodies are produced by expression of component polypeptides from separate vectors all introduced into the same cell by transformation.

# FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

ria FI ria FR ralia GA baijan GB iia and Herzegovina GE iium GN	Finland France Gabon United Kingdom Georgia Ghana Guinea Greece	LT LU LV MC MD MG MK MK	Lithuania Luxembourg Latvia Monaco Republic of Moldova Madagascar The former Yugoslav Republic of Macedonia Mali Mongolia	SK SN SZ TD TG TJ TM TR TR TT	Slovakia Senegal Swaziland Chad Togo Tajikistan Turkmenistan Turkey Trinidad and Tobago Ukraine
ri: ra b:	iia FI a FR dia GA dia GA ai and Herzegovina GE dos GH um GN	a FI Finland a FR France a GA Gabon aijan GB United Kingdom a and Herzegovina GE Georgia dos GH Ghana m GN Guinea	a ES Spain  Itia FI Finland LT  Itia FR France LU  Itia GA Gabon LV  Itia GB United Kingdom MC  Itia GB Georgia MD  Itia GH Ghana MG  Itia GN Guinea MK  Itia GR GR Greece	ES Spain LT Lithuania LT Lithua	isia FI Finland LT Lithuania SK isia FR France LU Luxembourg SN is GA Gabon LV Latvia SZ isia GB United Kingdom MC Monaco TD isian GB United Kingdom MD Republic of Moldova TG is and Herzegovina GE Georgia MD Republic of Moldova TG is and Herzegovina GH Ghana MG Madagascar TJ idos GH Ghana MK The former Yugoslav TM is an Escalar GR Greece Republic of Macedonia TR

# METHODS AND MEANS FOR EXPRESSION OF MAMMALIAN POLYPEPTIDES IN MONOCOTYLEDONOUS PLANTS

The present invention relates to expression of transgenes in plants, especially monocots, in particular non-plant or mammalian genes encoding polypeptides such as antibodies and antibody fragments. Expression constructs, transformed plants and cells and various methods are provided in accordance with various aspects of the invention.

10

Plants offer a number of potential advantages for the production of polypeptides of industrial or medical utility, such as mammalian proteins, including antibody molecules, whether complete antibodies or fragments such as single-chain Fv antibody molecules (scFv's), and fusion proteins. Synthesis of functional antibodies in transgenic plants was first demonstrated by Hiatt et al. (Nature (1989) 342: 76-78) and subsequently single chain fragments have been successfully expressed in leaves of tobacco and Arabidopsis plants (Owen et al. (1992) Bio/Technology 10: 790-794; Artsaenko et al. (1995) The Plant J 8: 745-750; Fecker et al. (1996) Plant Mol Biol 32: 979-986). Fiedler et al. (Bio/Technology (1995) 13: 1090-1093) have shown the feasibility of long-term storage of scFv's in tobacco seeds.

2

Almost exclusively, such work has been in dicotyledonous plants. However, monocot crop plants such as wheat and rice have advantages over dicots such as tobacco in not containing noxious chemicals such as alkaloids. This increases possibilities for safe production of polypeptides for pharmaceutical use. Furthermore, crop plants are of particular significance in food contexts, allowing for provision of "functional foods" which may have potential health benefits. An exemplary application is anti-dental caries antibodies, e.g. as expressed by Ma et al. (Eur J Immunol 24: 131-138 (1994); Plant Physiology 109, 341-346 (1995); Science (1995) 268, 716-719) in transgenic tobacco (not a functional food as such).

As far as the present inventors are aware the only
experimental example of expression of an antibody or other
mammalian protein in a monocot is disclosed in W098/10062
(Monsanto), published 12 March 1998. This document reports
expression of antibody light and heavy chains from separate
plasmids in transgenic maize plants, under the control of the
rice glutelin-1 promoter.

The present inventors have devised various expression constructs for mammalian genes such as antibodies to be produced in transgenic plants, especially monocots, preferably barley, rice, corn, wheat, oat, sorghum, more

3

preferably wheat, rice. As noted, no-one has previously reported successful expression of such genes in these plants. Experimental evidence described below shows various advantages and benefits from use of different aspects of the expression constructs.

In one aspect of the present invention it has been found that levels of antibody expression in monocots can be enhanced by employing an endoplasmic reticulum (ER) retention signal.

10 Such a signal is a peptide tag usually including the amino acid sequence Lys Asp Glu Leu (KDEL) (SEQ ID NO. 2) or His Asp Glu Leu (HDEL) (SEQ ID NO. 4). Artsaenko et al. employed KDEL in expression of a single-chain Fv antibody against abscisic acid in the dicot tobacco (The Plant J. (1995)

15 8:745-750), but this has not previously been shown to be functional in monocots.

In another aspect of the present invention, various leader peptide sequences have been found to enhance antibody

20 expression in plants, especially monocots. None of these have previously been shown to be effective in plants.

Details are provided below, but no measurable expression of antibody molecule was found in rice calli using a construct without a leader peptide sequence.

25

In a still further aspect of the present invention various 5'

4

untranslated regions (5'UTR) have been employed in expression of antibody molecules in plants in particular the chalcone synthase and omega 5'UTR's (see below for details). Again, none of these have previously been shown to be effective as demonstrated herein in plants, especially monocots.

Various aspects of the invention provide nucleic acid constructs and vectors including one or more of these elements, transformed host cells, which may be microbial or plant, transgenic callus and suspension cultures and plants and various methods for provision or use of such constructs, vectors, host cells, cultures and plants in production of non-plant, particularly eukaryotic polypeptides, such as antibody molecules.

15

Brief description of the figure

Figure 1 shows an schematic of the components in expression constructs according to the present invention. In addition to the promoter and the gene of interest, one or more of the other elements (5'UTR, leader peptide, signal (e.g. KDEL), 3'UTR, pA - polyadenylation signal) may be included and the present invention provides any combination of these elements.

25 In accordance with a first aspect of the present invention there is provided a plant cell or seed, preferably monocot,

5

containing a polypeptide produced by expression within the cell or seed from an expression cassette including a coding sequence for the polypeptide fused to an endoplasmic reticulum (ER) retention signal.

5

The retention signal may be a peptide with the amino acid sequence KDEL (SEQ ID NO. 2) or HDEL (SEQ ID NO. 4). may be encoded by the nucleotide sequence AAA GAT GAG CTC (SEQ ID NO. 1) and HDEL may be encoded by CAT GAT GAG CTC (SEQ ID NO. 3). Other sequences encoding the amino acids but 10 differing from these nucleotide sequences by virtue of degeneracy of the genetic code may be employed. The KDEL or HDEL encoding sequence may be operably linked to a coding sequence for the polypeptide to provide a coding sequence for a fusion of the polypeptide and ER retention signal. Generally the retention signal is placed at the C-terminus of the polypeptide. The ER-retention signal may be preceded by a linker sequence, such as (Gly) Ser (SEQ ID NO. 5) and/or Arg Gly Ser Glu (RGSE) (SEQ ID NO. 6) (Wandelt et al. (1992) Plant J. 2(2): 181-192).

In accordance with a second aspect of the present invention there is provided a plant cell or seed, preferably monocot, containing a polypeptide produced by expression within the cell or seed from an expression cassette including a coding sequence for the polypeptide and an 5' untranslated leader

(SEQ ID NO. 7). The 5'UTR may be that of the TMV omega gene (Gallie et al. (1992) NAR 20: 4631-4638) or a modified form including one or more additions, deletions, substitutions or insertions of one of more nucleotides, preferably including modifications as described by Schmitz et al. (1996) NAR 24: 257-263; incorporated herein by reference. The omega untranslated leader sequence from the U1 strain of TMV is (at

accordance with embodiments of the present invention is to alter the underlined AUU to AGG. Additionally, one or both of the underlined A's may be deleted.

A preferred modified sequence is:

25 GGACCAUGG (SEQ ID NO. 9). In addition to the preferred AUU - > AGG modification, this also includes a near-Kozak sequence

7

ACCAUGG, where the AUG is the initiation codon.

In accordance with a third aspect of the present invention there is provided a plant cell or seed, preferably monocot, 5 containing a polypeptide produced by expression within the cell or seed from an expression cassette including a coding sequence for the polypeptide and a leader peptide. A leader peptide may be used to direct the product to a particular cellular compartment. The leader peptide may be of mammalian 10 origin, and may be murine, such as an immunoglobulin light or heavy chain leader peptide. The nucleotide sequence used in the construct to encode the leader peptide may be codon optimised for expression in the plant of interest, preferably monocot, e.g. rice or wheat. A preferred leader peptide useful in accordance with this aspect of the present 15 invention is that of the TMV virion specific mAb24 of Voss et al. (Mol Breed (1995) 1: 39-50) (incorporated herein by reference). Modified forms may be employed. As with other elements for use in expression cassettes in accordance with 20 various aspects of the present invention, the coding sequence may be codon optimised for monocot codon usage according to Angenon et al. (FEBS (1990) 271:144-146) (incorporated herein by reference). The leader peptide may be vacuole targeting signal, such as the leader peptide of a strictosidine synthase gene, e.g. that of the Catharanthus roseus strictosidine synthase (McKnight et al., Nucleic Acids

Research (1990), 18, 4939; incorporated herein by reference) or of Rauwolfia serpentina strictisodine synthase (Kutchan et al. (1988) FEBS Lett 237 40-44; incorporated herein by reference). For a review of vacuole targeting sequences see 5 Neuhaus (1996) Plant Physiol Biochem 34(2) 217-221. leader peptide may be a chloroplast targeting signal such as of the pea rubisco leader peptide sequence (Guerineau et al. (1988) NAR 16 11 380) (incorporated herein by reference). a review of chloroplast targeting peptides see van Heijne et al. (Eur J Biochem (1989) 180: 535-545) or Kavanagh et al. 10 (MGG (1988) 215: 38-45) or Karlin-Neumann et al. (EMBO J (1986) 5: 9-13) (all incorporated herein by reference). leader peptide may be a 5' sequence of a seed storage protein, dicot or monocot, causing transport into protein bodies, such as the Vicia fabia legumin B4 leader (Baeumlein et al. Mol Gen Genet (1991) 225: 121-128) (incorporated herein by reference).

One aspect of this invention is a cereal plant cell or seed containing a mammalian protein produced by expression within the cell or seed from an expression cassette comprising a coding sequence for the protein.

In a further aspect, the present invention provides a corn

25 plant cell or seed containing a mammalian protein produced by

expression within the cell or seed from an expression

cassette including a coding sequence for the protein.

In a further aspect, the present invention provides a rice plant cell or seed containing a mammalian protein produced by expression within the cell or seed from an expression cassette including a coding sequence for the protein.

A still further aspect of the invention the present invention provides a wheat plant cell or seed containing a mammalian protein produced by expression within the cell or seed from an expression cassette including a coding sequence for the protein.

In further aspects of the present invention there are

provided methods for the production of plant cells in

accordance with the aspects disclosed above, the methods

including introducing into a plant cell nucleic acid

including the specified expression construct. Suitable

techniques for this, including for vector construction, plant

cell transformation, and plant regeneration are discussed

below.

Thus, for example, one of these aspects of the invention provides a method including introducing into a plant cell, especially monocot, nucleic acid including an expression cassette including a coding sequence for a polypeptide of

interest fused to an endoplasmic reticulum (ER) retention signal. Introduction of nucleic acid into cells may be referred to as "transformation" and resultant cells may be referred to as "transgenic". This is without limitation to any method or means used to introduce the nucleic acid into the cells.

A transformed cell may be grown or cultured, and further aspects of the present invention provide a suspension culture or callus culture including such cells. As noted below, further aspects provide plants and parts thereof, and methods of production of plants by transformation of cells and regeneration.

- 15° It should be noted that plant cells transiently expressing the desired polypeptide following transformation with the appropriate expression cassette are provided by the present invention, but a further aspect provides a method of making a plant cell, preferably monocot, including an expression cassette as disclosed, the method including:
  - (i) introducing a nucleic acid vector suitable for transformation of a plant cell and including the expression cassette into the plant cell, and,
- (ii) causing or allowing recombination between the vector and the plant cell genome to introduce the expression cassette into the genome.

11

In a still further aspect the present invention provides a method of making a plant, the method including:

- (i) making plant cells as disclosed; and
- (ii) regenerating a plant from said plant cells or
- descendants thereof. Such a method may further include cloning or propagating said plant or a descendant thereof containing the relevant expression cassette within its genome.
- 10 In various embodiments of the present invention the cell or seed is actively producing the polypeptide or protein.

The expressed polypeptide is preferably a eukaryotic, nonplant protein, especially of mammalian origin, and may be

- selected from antibody molecules, human serum albumin (Dugaiczyk et al. (1982) PNAS USA 79: 71-75 (incorporated herein by reference), erythropoietin, other therapeutic molecules or blood substitutes, proteins within enhanced nutritional value, and may be a modified form of any of
- these, for instance including one or more insertions, deletions, substitutions and/or additions of one or more amino acids. (The coding sequence is preferably modified to exchange codons that are rare in monocots in accordance with principles for codon usage.)

25

In preferred embodiments of the present invention, a

mammalian protein is an antibody molecule, which includes an polypeptide or polypeptide complex including an immunoglobulin binding domain, whether natural or synthetic. Chimaeric molecules including an immunoglobulin binding 5 domain fused to another polypeptide are therefore included. Example binding fragments are (i) the Fab fragment consisting of VL, VH, CL and CH1 domains; (ii) the Fd fragment consisting of the VH and CH1 domains; (iii) the Fv fragment consisting of the VL and VH domains of a single antibody; (iv) the dAb fragment (Ward, E.S. et al., Nature 341, 544-546 10 (1989) incorporated herein by reference)) which consists of a VH domain; (v) isolated CDR regions; (vi) F(ab')2 fragments, a bivalent fragment including two linked Fab fragments (vii) single chain Fv molecules (scFv), wherein a VH domain and a 15 VL domain are linked by a peptide linker which allows the two domains to associate to form an antigen binding site (Bird et al, Science, 242, 423-426, 1988; Huston et al, PNAS USA, 85, 5879-5883, 1988); (viii) bispecific single chain Fv dimers (PCT/US92/09965) and (ix) "diabodies", multivalent or multispecific fragments constructed by gene fusion 20 (WO94/13804; P. Holliger et al Proc. Natl. Acad. Sci. USA 90 6444-6448, 1993) (all incorporated herein by reference). Monospecific but bivalent diabodies can be produced by expression from a single coding sequence, wherein the polypeptides associate to form dimers including two antigen-25 binding sites. Bispecific diabodies are formed by

association of two different polypeptides, expressed from respective coding sequences.

Where the desired product is a two-chain or multi-chain

polypeptide complex (e.g. Fab molecule or bispecific diabody), the expression cassettes may be introduced into plant cells in accordance with the present invention on the same vector or on separate vectors. In one particular aspect of the invention a plant cell, preferably monocot, is

transformed separately with four vectors, each including nucleic acid encoding one of the four chains of a secretory antibody, namely the heavy chain, light chain, secretory component and J chain.

The product may be a fusion protein including different proteins or protein domains. For example, certain embodiments of the present invention relate to provision of fusion proteins in which an antibody molecule (such as a scFv molecule or one or both chains of a multimeric antibody molecule such as an Fab fragment or whole antibody) is fused to a non-antibody protein domain, such as interleukin 2, alkaline phosphatase, glucose oxidase (an example of a biological response modifier), green fluorescent protein (an example of a colorimetric label). The non-antibody molecule may be fused to the antibody component at the latter's N- or C-terminus.

Those skilled in the art are well able to construct vectors and design protocols for recombinant gene expression in plants. Suitable vectors can be chosen or constructed, containing appropriate regulatory sequences, including 5 promoter sequences, terminator fragments, polyadenylation sequences, enhancer sequences, marker genes and other sequences as appropriate. For further details see, for example, Molecular Cloning: a Laboratory Manual: 2nd edition, Sambrook et al, 1989, Cold Spring Harbor Laboratory Press. Many known techniques and protocols for manipulation of nucleic acid, for example in preparation of nucleic acid constructs, mutagenesis, sequencing, introduction of DNA into cells and gene expression, and analysis of proteins, are described in detail in Current Protocols in Molecular Biology, Second Edition, Ausubel et al. eds., John Wiley & Sons, 1992. The disclosures of Sambrook et al. and Ausubel et al. are incorporated herein by reference. Specific procedures and vectors previously used with wide success upon plants are described by Bevan (Nucl. Acids Res. 12, 8711-8721 (1984)) and Guerineau and Mullineaux (1993)(Plant 20 transformation and expression vectors. In: Plant Molecular Biology Labfax (Croy RRD ed) Oxford, BIOS Scientific

25 Selectable genetic markers may be used consisting of chimaeric genes that confer selectable phenotypes such as

Publishers, pp 121-148).

resistance to antibiotics such as kanamycin, hygromycin, phosphinotricin, chlorsulfuron, methotrexate, gentamycin, spectinomycin, imidazolinones and glyphosate.

5 The vector backbone may be pUC (Yanisch-Perron et al. (1985)

Gene 33: 103-119) or pSS (Voss et al. (1995) Mol Breed 1: 3950).

The expression cassette employed in accordance with aspects

of the present invention may include the coding sequence
under the control of an externally inducible gene promoter to
place expression under the control of the user. A suitable
inducible promoter is the GST-II-27 gene promoter which has
been shown to be induced by certain chemical compounds which

can be applied to growing plants. The promoter is functional
in both monocotyledons and dicotyledons. The GST-II-27
promoter is also suitable for use in a variety of tissues,
including roots, leaves, stems and reproductive tissues.

- Other suitable promoters include any constitutive promoter and any seed-specific promoter. Examples include the maize ubiquitin promoter and intron (US-A-5510474), CaMV 35S promoter (Gardner et al. (1981) NAR 9: 2871-2888), and the wheat low molecular weight glutenin promoter (Colot et al.
- 25 (1987) EMBO J 6: 3559-3564).

16

A polyadenylation signal such as the NOS terminator may be used (Depicker et al. (1982) J. Mol Appl Genet 1: 499-512).

A 3' UTR such as the modified sequence of TMV as described by Voss et al. (Mol. Breed. (1995) 1:39-50) may be used.

5

When introducing a chosen gene construct into a cell, certain considerations must be taken into account, well known to those skilled in the art. The nucleic acid to be inserted should be assembled within a construct which contains

10 effective regulatory elements which will drive transcription. There must be available a method of transporting the construct into the cell. Once the construct is within the cell membrane, integration into the endogenous chromosomal material either will or will not occur. Finally, as far as plants are concerned the target cell type may be such that cells can be regenerated into whole plants, although as noted suspension cultures and callus cultures are within the present invention.

A plant cell or seed according to the present invention may be comprised in a plant or part (e.g. leaf, root, stem) or propagule thereof.

Plants which include a plant cell according to the invention are also provided, along with any part or propagule thereof, seed, selfed or hybrid progeny and descendants. A plant

according to the present invention may be one which does not breed true in one or more properties. Plant varieties may be excluded, particularly registrable plant varieties according to Plant Breeders' Rights. It is noted that a plant need not be considered a "plant variety" simply because it contains stably within its genome a transgene, introduced into a cell of the plant or an ancestor thereof.

In addition to a plant, the present invention provides any clone of such a plant, seed, selfed or hybrid progeny and descendants, and any part of any of these, such as cuttings, seed. The invention provides any plant propagule, that is any part which may be used in reproduction or propagation, sexual or asexual, including cuttings, seed and so on. Also encompassed by the invention is a plant which is a sexually or asexually propagated off-spring, clone or descendant of such a plant, or any part or propagule of said plant, off-spring, clone or descendant.

Plants transformed with an expression cassette containing the desired coding sequence may be produced by various techniques which are already known for the genetic manipulation of plants. DNA can be transformed into plant cells using any suitable technology, such as a disarmed Ti-plasmid vector carried by Agrobacterium exploiting its natural gene transfer ability (EP-A-270355, EP-A-0116718, NAR 12(22) 8711 - 87215

1984), particle or microprojectile bombardment (US 5100792, EP-A-444882, EP-A-434616) microinjection (WO 92/09696, WO 94/00583, EP 331083, EP 175966, Green et al. (1987) Plant Tissue and Cell Culture, Academic Press), electroporation (EP 290395, WO 8706614 Gelvin Debeyser - see attached) other forms of direct DNA uptake (DE 4005152, WO 9012096, US 4684611), liposome mediated DNA uptake (e.g. Freeman et al. Plant Cell Physiol. 29: 1353 (1984)), or the vortexing method (e.g. Kindle, PNAS U.S.A. 87: 1228 (1990d) (all incorporated herein by reference). Physical methods for the transformation of plant cells are reviewed in Oard, 1991, Biotech. Adv. 9: 1-11.

Agrobacterium transformation is widely used by those skilled in the art to transform dicotyledonous species. Recently, there has been substantial progress towards the routine production of stable, fertile transgenic plants in almost all economically relevant monocot plants (Toriyama, et al. (1988) Bio/Technology 6, 1072-1074; Zhang, et al. (1988) Plant Cell Rep. 7, 379-384; Zhang, et al. (1988) Theor Appl Genet 76, 835-840; Shimamoto, et al. (1989) Nature 338, 274-276; Datta, et al. (1990) Bio/Technology 8, 736-740; Christou, et al. (1991) Bio/Technology 9, 957-962; Peng, et al. (1991) International Rice Research Institute, Manila, Philippines 563-574; Cao, et al. (1992) Plant Cell Rep. 11, 585-591; Li, et al. (1993) Plant Cell Rep. 12, 250-255; Rathore, et al.

(1993) Plant Molecular Biology 21, 871-884; Fromm, et al.
(1990) Bio/Technology 8, 833-839; Gordon-Kamm, et al. (1990)
Plant Cell 2, 603-618; D'Halluin, et al. (1992) Plant Cell 4,
1495-1505; Walters, et al. (1992) Plant Molecular Biology 18,
5 189-200; Koziel, et al. (1993) Biotechnology 11, 194-200;
Vasil, I. K. (1994) Plant Molecular Biology 25, 925-937;
Weeks, et al. (1993) Plant Physiology 102, 1077-1084; Somers,
et al. (1992) Bio/Technology 10, 1589-1594; WO92/14828). In
particular, Agrobacterium mediated transformation is now
emerging also as an highly efficient alternative
transformation method in monocots (Hiei et al. (1994) The
Plant Journal 6, 271-282).

The generation of fertile transgenic plants has been achieved in the cereals rice, maize, wheat, oat, and barley (reviewed in Shimamoto, K. (1994) Current Opinion in Biotechnology 5, 158-162.; Vasil, et al. (1992) Bio/Technology 10, 667-674; Vain et al., 1995, Biotechnology Advances 13 (4): 653-671; Vasil, 1996, Nature Biotechnology 14 page 702) (all incorporated herein by reference).

Microprojectile bombardment, electroporation and direct DNA uptake are preferred where Agrobacterium is inefficient or ineffective. Alternatively, a combination of different techniques may be employed to enhance the efficiency of the

20

transformation process, eg bombardment with Agrobacterium coated microparticles (EP-A-486234) or microprojectile bombardment to induce wounding followed by co-cultivation with Agrobacterium (EP-A-486233).

5

Following transformation, a plant may be regenerated, e.g. from single cells, callus tissue, leaf discs, immature or mature embryos, as is standard in the art. Almost any plant can be entirely regenerated from cells, tissues and organs of the plant. Available techniques are reviewed in Vasil et al., Cell Culture and Somatic Cell Genetics of Plants, Vol I, II and III, Laboratory Procedures and Their Applications, Academic Press, 1984, and Weissbach and Weissbach, Methods for Plant Molecular Biology, Academic Press, 1989 (both incorporated herein by reference).

The particular choice of a transformation technology will be determined by its efficiency to transform certain plant species as well as the experience and preference of the 20 person practising the invention with a particular methodology of choice. It will be apparent to the skilled person that the particular choice of a transformation system to introduce nucleic acid into plant cells is not essential to or a limitation of the invention, nor is the choice of technique 25 for plant regeneration.

PCT/US99/13584

A further aspect of the present invention provides a method of making a plant cell, preferably monocot, as disclosed involving introduction of a suitable vector including the relevant expression cassette into a plant cell and causing or allowing recombination between the vector and the plant cell genome to introduce the sequence of nucleotides into the genome. The invention extends to plant cells containing nucleic acid according to the invention as a result of introduction of the nucleic acid into an ancestor cell.

21

10

The term "heterologous" may be used to indicate that the gene/sequence of nucleotides in question have been introduced into said cells of the plant or an ancestor thereof, using genetic engineering, i.e. by human intervention. A transgenic plant cell, i.e. transgenic for the nucleic acid in question, may be provided. The transgene may be on an extra-genomic vector, such as a cow-pea mosaic viral vector, or incorporated, preferably stably, into the genome.

20 Following transformation of a plant cell, a plant may be regenerated from the cell or descendants thereof.

Further aspects of the present invention provide the use of an expression cassette with features disclosed herein (for example antibody encoding sequence or sequences fused to a mammalian ER retention signal, a peptide leader, and/or a

22

5'UTR as disclosed) in production of a transgenic plant cell and in production of a transgenic plant. Such a cell or plant is preferably monocot.

Transgenic plants in accordance with the present invention may be cultivated under conditions in which the desired product is produced in cells and/or seed of the plant. Cells producing the product may be in an edible part of the plant, such as leaves or fruit.

10

Following cultivation of plants, they, or parts thereof such as their leaves, seed or fruit, may be harvested and processed for isolation and/or purification of the product. Suitable techniques are available to those skilled in the art. The product may be used as desired, for instance in formulation of a composition including at least one additional component.

Seed may be stored, e.g. for at least six months.

20

Aspects and embodiments of the present invention will now be illustrated by way of experimental exemplification. Further aspects and embodiments of the present invention will be apparent to those skilled in the art.

23

#### EXAMPLE 1

The anti-CEA antibody T84.66 (US-A-5081235) has been used in clinical trials and has a proven potential for therapy and diagnosis.

5

10

The present inventors have successfully expressed the T84.66 antigen binding domain in the form of a scFv fragment (scFv84.66) in both rice and wheat. Various untranslated leader and leader peptide sequences were employed. See below for details.

The single-chain fragments were either directed to the apoplast by means of an appropriate mammalian (murine) leader peptide sequence (e.g. construct CH84.66HP (Table 1 construct #1)) or retained in the endoplasmic reticulum by means of an ER retention signal (e.g. construct CH84.66KP(Table 1, construct #5)).

Functional expression of scFv able to bind its antigen was
detected by ELISA in rice callus and leaves and in wheat
leaves and seeds, both endosperm and embryo.

5/10 wheat plants transformed with CH84.66HP expressed the product in a range of 30-100 ng per gram of leaf material, with an average of 54 ng/g and a maximum of 100 ng/g.

19/30 wheat plants transformed with CH84.66KP expressed the product in a range of 50-700 ng/g, with an average of 243 ng/g and a maximum of 700 ng/g.

- 5 14/35 rice calli transformed with CH84.66HP expressed the product in a range of 30-300 ng/g. Four regenerated plants expressed the product in a range of 25-200 ng/g.
- 7/14 rice calli transformed with CH84.66KP expressed the
  10 product in a range of 70-3590 ng/g. Three regenerated plants
  expressed the product at 1500, 890 and 29000 ng/g leaf
  material, respectively.
- Transformation of rice with construct nr 7, containing the

  15 enhanced 35S promoter (2x35S), resulted in seven out of 11

  lines expressing scFvT84.66 at levels between 500 and 27000

  ng/g leaf tissue. Furthermore, western blot analysis of leaf
  extracts from selected rice lines transformed with this
  construct revealed that expressed scFvT84.66 was intact and

  20 had the predicted molecular weight.

Table 1 outlines the components of various expression cassettes (see below).

25 The ubiquitin promoter and the Nos terminator were used in constructs 1 to 6, the enhanced 35S promoter and terminator

25

were used in construct 7.

The results show that use of the ER retention signal enhances accumulation of the protein in wheat and rice plants, that

the 5'UTR's are functional in wheat and rice, and that the mammalian leader peptide is functional in wheat and rice.

After six months of storage, the levels of functional, antigen-binding scFv 8466 were not significantly lower than at the time of harvest.

#### EXAMPLE 2

The anti-TMV antibody rAb 24 (heavy and light chain MMBL accession numbers X67210 and X67211, respectively) is very well studied. See e.g. Voss et al. (1995) Mol. Breed. 1:39-50 (incorporated herein by reference).

This antibody has been expressed by the inventors in a

single-chain Fv format (scFv24) in rice callus and plants.

20 Particularly high amounts of the functional antibody fragment were detected by ELISA (Fischer et al. (1998)

Characterization and application of plant-derived recombinant antibodies. In Cunningham C, Porter A (eds), "Methods in Biotechnology, Vol. 3: Recombinant Proteins from Plants:

25 Production and Isolation of Clinically Useful Compounds"
Methods in Biotechnology, Vol. 3, 129-142, Humana Press,

1997 (incorporated herein by reference)) in callus or rice containing a construct including a C-terminal ER retention signal.

5 A construct lacking any leader peptide sequence was introduced into rice. No expression was detectable by ELISA in callus tissue or leaves of these transformants.

A construct including the murine leader peptide and encoding scFv24 was used to transform rice and functional scFv was detected by ELISA in callus tissues and leaves. 3/4 rice calli expressed the product.

A further construct including the scFv24 coding sequence and

15 a ER retention signal was expressed in transgenic rice. High
levels of functional scFv were detected in callus. 12/25

calli expressed the product in a range of 300-42066 ng/g.

One regenerated plant expressed the product at 8635 ng/g.

The results show that the mammalian light chain leader peptide is functional in rice and enhances protein levels as compared to cytosolic expression, and that the ER retention signal is functional in rice and enhances protein levels.

#### EXAMPLE 3

The full size chimeric (mouse/human) T84.66 antibody was successfully expressed in rice callus and plants

The genes for heavy and light chain of the antibody were located on two separate plasmids and introduced into plant cells via co-bombardment.

The enhanced 35S promoter was used in all constructs. The

heavy and light chain were either both directed to the
apoplast by means of an appropriate mammalian (murine) leader
peptide sequence (Table 1, constructs 8 and 9) or,
alternatively, the heavy chain was retained in the
endoplasmic reticulum by means of an ER retention signal

(Table 1, construct 10).

Functional expression of T84.66 able to bind its antigen was detected by ELISA in rice callus, leaves and seeds (Table 3). For a positive ELISA reaction, both the light chain and the heavy chain have to be expressed. If light and heavy chains are produced at different levels, the ELISA assay only indicates expression indicative of the lower expression level.

25 The results show that the genes for the heavy and light chain of a full size antibody can be stably transformed into a

28

plant cell on two separate plasmids. Functional antibody molecules are able to assemble in the plant cell if either both chains are targeted to the apoplast, or if one chain is retained in the ER.

5

## EXAMPLE 4

The full size anti-TMV antibody rAb 24 was expressed in the apoplast of rice callus cells. The genes encoding the heavy and light chain were both driven by enhanced 35S promoter sequences and present on the same transformation vector. Seven out of 10 rice callus lines expressed functional (antigen binding) full size antibodies at levels between 100 and 50000ng/g.

The result shows that a functional anti-TMV antibody was produced in rice callus after introducing one plasmid containing the genes encoding heavy and light chain.

## EXAMPLE 5

The anti-TMV antibody rAb 24 was expressed in rice callus and leaves in a Fab (construct 11), F(ab)<sub>2</sub> (construct 12) and bispecific single chain Fv format (construct 13).

Various UTR and leader sequences were employed (constructs 11-13; Table 4). The enhanced 35S promoter and 35S terminator were used throughout.

PCT/US99/13584

Ten out of 18 rice callus lines transformed with construct 11 expressed the Fab24 fragment, directed to the apoplast, in a range of 30-5200 ng/g. A regenerated transgenic plant expressed the Fab fragment at 2500 ng/g leaf material.

Furthermore, western blot analysis of leaf extracts confirmed that expressed Fab 24 was intact and had the predicted molecular weight (double band at 28 kDa).

Three out of 5 rice callus lines containing construct 12 expressed functional (antigen binding) F(ab)<sub>2</sub> antibody fragments directed to the apoplast. The levels of F(ab)<sub>2</sub> measured were in the range of 100-29000 ng/g.

Six out of 8 rice callus lines containing construct nr 13

15 produced the bispecific single chain fragment of rAb24 in a range of 240 to 31000 ng/g. Two regenerated transgenic plants expressed the biscFv24 fragment in leaves at levels of 2100 or 1200 ng/g, respectively. In this case, an ER retention sequence was attached to the C-terminus of the antibody

20 fragment.

Rice callus lines containing construct 14, encoding the scFv24 fused to the coatprotein of TMV (tobacco mosaic virus), expressed the product at detectable levels. This was determined by ELISAs based on the antigen binding capability of the scFv24.

30

These results show that various antigen binding fragments, such as Fab fragment,  $F(ab)_2$  fragment, bispecific scFv and scFv fusion proteins can be expressed in callus and leaf tissue of transgenic rice lines.

5

### EXAMPLE 6

Rice callus tissue was transformed with constructs containing the gene for scFv24 fused to various peptide signals for subcellular targeting. These targeting signals include the N-terminal chloroplast targeting signal of the structural gene for granule-bound starch synthase of potato (van der Leij et al., Mol Gen Gen (1991), 228: 240-248; incorporated herein by reference) and the N-terminal vacuolar targeting signal of strictosidine synthase from Catharanthus roseus (McKnight et al., Nucleic Acids Research (1990), 18, 4939; incorporated herein by reference).

Product expression was achieved at levels between 50 and 500 ng/g.

20

These results show that an antigen binding fragment, such as scFv, can be successful expressed in fusion with signal peptides for targeting to different subcellular compartments.

### EXAMPLE 7

Guy's 13 antibody is a secretory antibody (SigA) with specificity to the streptococcal antigen (SA) I/II cell surface adhesion protein of the oral pathogen Streptococcus mutans (Smith and Lehner (1989) Oral Microbiol Immunol. 4: 153). A secretory form of this antibody has been constructed and used in tobacco (Ma et al. (1995) Science 268: 716; incorporated herein by reference). The molecule consists of IgA dimers associated with the J-chain and the secretory component.

A chimeric mouse/human secretory antibody derived from Guy's

13 was expressed in transgenic rice lines. The four

components, namely heavy chain, light chain, J-chain and

secretory component, were encoded by four coding sequences,

each driven by the maize ubiquitin promoter. The four

cassettes were present on four separate plasmids and

introduced into the plant cells by co-bombardment.

20 All coding sequences contained their natural leader peptides for secretion to the apoplast.

Fully assembled SigA was detected in several callus lines, up to a level of 800 ng/g. Fully assembled SigA was also detected in leaf material of a regenerated plant.

32

The result shows that complex antibodies, such as SigA, can be expressed in callus and leaves of rice following the introduction of the genes encoding the components on separate plasmids.

5

### MATERIALS AND METHODS

### Plasmids and Bacteria

ScFv 84.66 plasmid construction

A DNA fragment encoding the single-chain (scFv) protein

derived from the anti-CEA antibody T84.66 was amplified by

PCR using the construct pUC18-T84.66/212 (Wu et al., 1996

Immunotechnology 2: 21-36; incorporated herein by reference))

as a template, and specific primers introducing NcoI and SalI

restriction sites at the 5' and 3' ends respectively, for

subcloning. The integrity of the scFvT84.66 gene was

confirmed by DNA sequencing (ALF, Pharmacia).

The NcoI/SalI amplified T84.66 fragment was subcloned into a pGEM3zf vector containing the 5' untranslated region of chalcone synthase (CHS 5' UTR) and the heavy chain leader peptide (muLPH\*) from the TMV virion-specific mAb24 (Voss et al., (1995) Mol Breed 1: 39-50). The muLPH\* sequence was codon optimised for plant expression according to Angenon et al. (FEBS (1990) 271: 144-146). Also included were either a KDEL motif or a His6 tag 3' to the T84.66 single-chain fragment as a C-terminal translation modification signal.

WO 99/66026

PCT/US99/13584

The whole cassette, containing either CHS 5° UTR-muLPH\*T84.66-KDEL or CHS 5° UTR-muLPH\*-T84.66-His6 was recovered
with EcoRI and HindIII digestion and subcloned into a pUC19
plasmid containing the maize ubiquitin 1 promoter, intron1

(US-A-5510474; (1990)) and the NOS termination sequence to
give the final expression constructs. For co-transformation
plasmid pAHC20 was used. This plasmid contains only the bar
gene fused to the ubiquitin 1 promoter and intron 1

(Christensen and Quail (1996) Transgen Res 5: 213-218;
incorporated herein by reference).

33

The heavy and light chain cDNAs of rAb24 (EMBL accession numbers X67210 and X67211, respectively) were used for generation of scFv-cDNAs. The  $V_L$  and  $V_H$  fragments were amplified by PCR using domain-specific primers. For each domain one primer contained an overlapping sequence to form the  $V_L$  and  $V_H$  connecting linker (marked in italics) by splice overlap extension (SOE) PCR(Horton et al. "Engineering hybrid genes without the use of restriction enzymes; Gene splicing by overlap extension" Gene 77:61-68 (1989); incorporated herein by reference), and was used in conjunction with a primer containing either an EcoRI ( $V_L$ ) or a SalI ( $V_H$ ) restriction site (marked in bold).

The  $V_{\text{L}}$  domain was amplified using the forward primer P1-front:

34

5'-GCCGAATTCCATGGACGTCGAGCTGACCCAGTCT-3' (SEQ ID NO. 10), and the reverse primer P2-back:
5'-CTTTCCGGAACCACTAGTAGAGCCTTTTATCTCCAGCTTGGT-3' (SEQ ID NO. 11).

5

The  $V_H$  domain was amplified using the primers P3-front:  $5'-GGTTCCGGAAAGAGCTCTGAAGGTAAAGGTGAGGTCCAGCTGCAGCAG-3' \ (SEQ ID NO. 12) and P4-back:$ 

5'-GCCTCTAGACGTCGACTGCAGAGACAGTGACCAG-3' (SEQ ID NO. 13).

10

20

Individual  $V_L$  and  $V_H$  fragments were purified and assembled into a scFv fragment by SOE-PCR (Horton et al. (1989)) and subcloned into the EcoRI and SalI restriction sites of a pUC18 derivative, containing a c-myc and His6 sequence. A NdeI restriction site was introduced by PCR using the primer P5L24NL:

5'-GCACACCC**GAATTC**GGGCCCGGG**CATATG**CAAATTGTTCTCACCCAGTCT-3' (SEQ ID NO. 14), to enable cloning of the 5'-untranslated region of chalcone synthase (CHS 5'-UTR) as an *EcoRI-NdeI* fragment.

The subsequent ligation of the *EcoRI-XbaI* fragment into the plant expression vector pSS (see below), containing an enhanced 35S promoter and the CaMV termination sequence, resulted in the final construct pscFv24CW, which was used for

scFv expression in the cytosol. A second construct

(pscFv24CM) was generated by exchanging the 5' EcoRI-PstI

fragment of pscFv24CW with its corresponding region from the
full-size light chain cDNA containing the CHS 5'-UTR and the

original murine leader peptide sequence of the light chain

cDNA of rAb24 to enable scFv secretion into the apoplast.

### Plant material

Plants of Triticum aestivum L., cv Bobwhite, were grown in greenhouse and growthrooms at 15/12°C day/night temperature and 10 h photoperiod during the first 40 days, followed by maintenance at 21/18°C day/night temperature and 16 h photoperiod. Plants for insect bioassay were transferred to a heated glasshouse; day length was supplemented with artificial lighting to give a 16 h photoperiod, and temperature was maintained in the range 8-25°C.

## Target tissue and transformation

Immature embryos were removed and cultured as described

(Vasil et al. (1992) Bio/Technology 10: 667-674). After 6 to

days, particle bombardment was performed using standard

conditions. Thirty to seventy micrograms of coated gold

particles/shot were delivered to the target tissue which was

incubated on medium containing high osmoticum (0.2 M mannitol

and 0.2 M sorbitol) for 5-6 hours prior to and 10-16 hours

after bombardment. Plasmids containing the unselected gene

36

and the plasmid containing the *bar* gene were mixed for cotransformation at a molar ratio of 3:2 and precipitated onto gold particles (Christou et al., 1991 Bio/Technology 9: 957-962; incorporated herein by reference).

5

Bombarded callus was selected on medium containing phosphinothricin, as described elsewhere (Altpeter et al., 1996, Plant Cell Rep 16: 12-17; incorporated herein by reference).

10

PAT assays

PAT activity was assayed using leaf tissue as described before transferring the plants to soil (Vasil et al., (1992) Bio/Technology 10: 667-674).

15

Production of Monoclonal Antibody and CEA antigen

The pPIC9K yeast expression vector containing the CEA/NA3

domain and the mAb84.66 was used. The CEA/NA3 protein was

expressed in Pichia pastoris strain GS115 (InVitrogen) and

purified from the fermentation broth using Ni-NTA affinity

chromatography.

The hybridoma cell line T84.66 (Wagener et al., 1983 Journal of Immunology 130: 2308-2315; incorporated herein by reference) was grown in RPMI 1640 (Biochrom) containing 10% fetal calf serum (Biochrom), 25 mM NaHCO<sub>3</sub>, 1 mM L-glutamine,

50  $\mu$ M 2-mercaptoethanol, 24 mM sodium bicarbonate, 50 IU penicillin and 50  $\mu$ g streptomycin per ml (Gibco) and maintained at 37°C in a humidified incubator with 7% CO<sub>2</sub>. Immunoglobulins from culture supernatants were subjected to affinity chromatography on protein-A HC (BioProcessing). The purity of the mAb preparation was analysed by SDS-PAGE (Laemmli 1970). The presence of CEA-specific antibodies was ascertained by ELISA.

- Protein extraction and ELISA

  Extraction of total soluble proteins from leaves and seeds was performed as described by Fischer et al. (1998)

  (Characterization and application of plant-derived recombinant antibodies. In Cunningham C, Porter A (eds),
- "Methods in Biotechnology, Vol. 3: Recombinant Proteins from Plants: Production and Isolation of Clinically Useful Compounds", Methods in Biotechnology, Vol. 3, 129-142, Humana Press Inc., 1997).
- Functional T84.66 single-chain antibody was measured in an enzyme linked immunosorbent assay (ELISA) by competition with the full-size murine T84.66 monoclonal antibody. Microtitre plates were coated with 50 ng CEA/NA3 in bicarbonate buffer and blocked with 150 µl bovine serum albumin (1.0% in saline buffer (0.85% NaCl, pH7.2)). Serial dilutions of plant

extracts were made using extracts from non-infiltrated

38

control leaves, and 100 µl of each diluted sample, also containing 2.5 ng full-size murine T84.66 antibody was transferred to the CEA/NA3 coated and blocked ELISA plate. Alkaline phosphatase-conjugated Fc specific goat anti-mouse IgG (100µl of a 1:5000 dilution; Jackson Immunoresearch) was added to each well, and plates were then developed for up to 1 h at 37°C with 100 µl AP substrate (1 mg ml<sup>-1</sup> p-nitrophenlyphosphate, Sigma, in substrate buffer (0.1M Dietholamine, 1 mM MgCl<sub>2</sub> pH9.8) before reading the absorption at 405 nm using a Spectra Max 340 spectrophotometer (Molecular Devices).

Southern and Northern blot

DNA was prepared from leaf tissue according to Dellaporta et al., (1984) Maize DNA miniprep. In Malmberg R, Messing J, Sussex I (eds), "Molecular biology of plants. A laboratory course manual", pp36-37. Cold Spring Harbor Laboratory, Cold Spring Harbor, New York; incorporated herein by reference.

15µg aliquots of DNA were digested with appropriate restriction endonucleases and subjected to electrophoresis on 0.9% agarose gels. Transfer to nylon membranes and hybridisation were carried out according to standard procedures (Sambrook et al.(1989) Molecular Cloning: A Laboratory Manual. Cold Spring Harbor, NY.)

25

Total RNA was isolated from leaves of transgenic wheat

plants, subjected to agarose gel electrophoresis (15  $\mu$ g per lane) and blotted to a nitrocellulose membrane. 32P-labelled hybridisation probes comprising the coding region of the transgene were prepared using the random primer labelling kit (GIBCO-BRL).

### Results

5

Production and characterisation of transgenic wheat plants
Production of transgenic wheat plants by bombardment of

immature embryos has been described previously (Altpeter et al., 1997). The gene coding for scFv84.66 and the bar gene, as a selectable marker, were co-transformed into wheat on two separate plasmids. Nine to ten weeks after bombardment, regenerated plantlets were tested for phosphinothricin

acetyltransferase (PAT) expression. Forty independent transgenic lines were identified. Thirty lines had been co-bombarded with plasmid pCH84.66KP encoding the scFv antibody with an added KDEL-signal for retention in the ER. The remaining ten lines had been co-bombarded with pCH84.66HP

containing the His-tag instead of the KDEL sequence.

Southern blot analysis was carried out on a representative sample of fifteen primary transformants and confirmed the presence of the bar gene in all lines tested. Hybridisation with a probe for the scFv coding sequence revealed the integration of the gene in 11 lines with a co-transformation

40

frequency of ca. 80%. The transgene integration patterns were clearly unique for each line and the complexity of integration varied within the range expected for plants generated via direct gene transfer.

5

Extracts of soluble proteins from transgenic leaves were assayed for scFv presence and activity by ELISA. Eighteen out of 27 plants transformed with construct pCH84.66KP showed production levels of up to 700 ng functional active scFv84.66 per g leaf tissue (range: 50-700 ng). The maximum expression level detected in plants containing construct pCH84.66HP was

15 Expression of scFv in seeds

100 ng per g leaf tissue (range 30-100 ng).

Mature seeds from the best expressing plants were harvested and extracts of soluble proteins were used for ELISA. Up to 1.5  $\mu g$  scFv per g seed were determined. These levels of expression exceeded the levels measured in leaves.

20

Construction of cT84.66 heavy and light chain cDNAs

Splice overlap extension (SOE) PCR was used to obtain fullsize mouse/human chimeric T84.66 light and heavy chain cDNAs,
by in frame fusion of the variable VL and VH domains of the

mouse mAb T84.66 to the human kappa and IgG1 constant domains
of the B72.3 mouse/human chimeric antibody DNAs (Primus et

41

al. (1990) Cancer Immunol Immunother 31, 349-57; incorporated herein by reference). The human constant domains were amplified from plasmids chiB72.3L and chiB72.3H using the following primers: 5'-CTG GAA ATA AAA ACT GTG GCT GCA CCA TCT-3' (chiB72.3L-I) (SEQ ID NO. 15), 5'-GCC AAG CTT TTT GCA AAG ATT CAC-3' (chiB72.3L-II) (SEQ ID NO. 16), 5'-ACC GTC TCC TCA GCC TCC ACC AAG GGC CCA-3' (chiB72.3H-I) (SEQ ID NO. 17), and 5'-GCC AAG CTT GGA TCC TTG GAG GGG CCC AGG-3' (chiB72.3H) (SEQ ID NO. 18).

10

The mouse variable domains were amplified from plasmids

T84.66L2 (light chain) and T84.66H2 (heavy chain) using the

primers: 5'-GGC GAA TTC ATG GAG ACA GAC ACA CTC-3' (T84.66L
I) (SEQ ID NO. 19), 5'-AGC CAC AGT TTT TAT TTC CAG CTT GGT

CCC-3' (T84.66L) (SEQ ID NO. 20), 5'-GGC GAA TTC ATG AAA TGC

AGC TGG GTT-3' (T84.66H) (SEQ ID NO. 21), 5'-GGT GGA GGC TGA

GGA GAC GGT GAC TGA GGT-3' (T84.66H) (SEQ ID NO. 22).

- Chimeric T84.66 light and heavy chain cDNAs obtained by SOE

  PCR were cloned as EcoRI/HindIII fragments into pUC18, to

  give the constructs pUC18-"Light" and pUC18-"Heavy",

  respectively. All cDNA sequences were confirmed by nucleotide sequencing.
- 25 Construction of full-size cT84.66 plant expression plasmids.

  pGEM-3zf was used for cloning the 5'UTR from the omega leader

region of tobacco mosaic virus (TMV) (Schmitz et al. (1996) Nucleic Acids Res 24, 257-63), followed by one of the two plant codon optimised leader peptides derived either from the heavy chain (LPH) or from the light chain (LPL) of the murine 5 mAb24 (Voss et al. (1995) Molecular Breeding 1, 39-50), and for cloning the KDEL ER-retention signal sequence, and the 3'UTR from TMV. Chimeric light chain was digested with NcoI/SalI and inserted downstream from the 5' omega region of TMV and the LPL; chimeric heavy chain was inserted the same way (construct 9), or downstream from the 5' omega region of TMV and the LPH, and upstream from the KDEL sequence (construct 10). The expression cassettes were cloned between the enhanced 35S promoter and the cauliflower mosaic virus termination region utilising the EcoRI and XbaI restriction 15 sites of the pSS plant expression vector (Voss et al. (1995) Molecular Breeding 1, 39-50).

Construction of bispecific single chain Fv 24 plant expression vectors

- To combine scFv24 and the CBHI linker with scFv29 in a bispecific single chain antibody, a cassette arrangement was chosen with restriction sites at the 5' and 3' ends of the two scFv and linker sequences.
- 25 First, the scFv29 was subcloned into the EcoRI and SalI restriction sites of a pUC18 derivate, containing a His6

WO 99/66026

sequence (pUC18-scFv29-his). The plasmid pML2 containing the cDNA of the CBHI-linker was used in conjunction with the forward primer CBH-CLA 5'-GCG GAA TTC GTA ATC GAT CCC GGG GGT AAC CGC GGT ACC-3' (SEQ ID NO. 23) and backward primer CBH-MOD 5'-GCG GAC GTC GCT ATG AGA CTG GGT GGG CCC-3' (SEQ ID NO. 24) to introduce an EcoRI and ClaI (5' end) or an AatII (3' end) restriction site(s) by PCR. The EcoRI and AatII restricted PCR fragment was subcloned into pUC18-scFv29-his (CBHI-scFv29-his). EcoRI and NcoI restriction sites were integrated at the 5' end of scFv24 (Zimmermann et al. (1998) Molecular Breeding 4, 369-379; incorporated herein by reference) by PCR using the primer SCA25 5'-G CGG AAT TCG GCC ACC ATG GCC CAA ATT GTT CTC ACC CAG TCT-3' (SEQ ID NO. 25) and a 3' ClaI site using the primer SCA26 5'-GCG ATC GAT TGC AGA GAC AGT GAC CAG AGT-3' (SEQ ID NO. 26). Cloning of the 15 EcoRI-ClaI fragment upstream of the CBHI linker in the vector pUC18-scFv29-his gave the biscFv2429 construct pUC18biscFv2429.

20 For targeting biscFv2429 to different plant cell compartments, the 5' EcoRI-StuI fragment of pUC18-biscFv2429, containing the 5' end of scFv24, was exchanged with its corresponding region from pscFv24CM (Zimmermann et al.(1998) Molecular Breeding 4, 369-379) containing the 5' untranslated region of the chalcon synthase (CHS 5'-UT) (Voss et al.(1995) Molecular Breeding 1, 39-50) and the original mouse leader

sequence of the light chain cDNA. The C-terminal His6
sequence of biscFv2429 was replaced with the ER retention
signal KDEL, which was introduced by PCR using the primer
KDEL: 5'-ACG CTC TAG AGC TCA TCT TTC TCA GAT CCA CGA GAA CCT

CCA CCT CCG TCG ACT GCA GAG ACA GTG ACC AGA GTC CC-3' (SEQ ID
NO. 27) to generate pUC18-biscFv2429-KDEL. The subsequent
ligation of the EcoRI-XbaI fragment into the plant expression
vector pSS (Voss et al. (1995) Molecular Breeding 1, 39-50),
containing an enhanced 35S promoter and the CaMV termination
sequence, resulted in the final expression construct
biscFv2429-KDEL (Table 4, construct 13), which was used for
biscFv2429 expression in the endoplasmic reticulum.

Construction of the plant transformation vector encoding a scFv24-coatprotein fusion

The gene fusion partner coat protein (CP) from TMV was amplified by PCR. cDNA was amplified from a cDNA clone from TMV. The forward primers introduced a NcoI restriction site (5' end) and the backward primers a C-terminal (Gly4Ser)2

- 20 linker sequence and an AatII restriction site (3' end). The
   following forward and backward primer were used for PCR
   amplification:
  - CP-for 5'-ACT GCG CCA TGG CTT ACA GTA TCA CT-3' (SEQ ID NO. 28),
- 25 CP-back 5'-CCG TCA GAC GTC AGA ACC TCC ACC TCC ACT TCC GCC GCC TCC AGT TGC AGG ACC AGA GGT CCA AAC CAA ACC-3' (SEQ ID

NO. 29).

The 5'-NcoI and 3'-AatII restricted PCR fragments were subcloned into a pUC18 derivative containing the TMV specific scFv24 (Zimmermann et al. (1998) Molecular Breeding 4: 369-379) flanked by the 5' untranslated region (omega-sequence) and 3' untranslated region (Pw sequence) from TMV (Schmitzet al. (1996) Nucleic Acids Res 24: 257-263; Gallie et al., (1994) Gene 142: 159-165).

10

A C-terminal KDEL-sequence was added to scFv24 by PCR using the backward primer KDEL-back 5'-CCC TCA CTC GAG TTT AGA GCT CAT CTT TCT CAG ATC CAC GAG CGG CCG CAG AAC CTC CAC CTC CGT CGA CTG CAG AGA CAG TGA CCA G-3' (SEQ ID NO. 30). The subsequent ligation of the EcoRI-AscI fragments into the plant expression vector pSS, containing an double enhanced 35S promoter (Voss et al., 1995), resulted in the final expression construct CP-scFv24K.

## 20 Construction of pscFv24-VTS:

The plant codon optimized (for rice, wheat and tobacco) N-terminal vacuolar targeting signal of strictosidine synthase from Catharanthus roseus (McKnight et al., 1990) was added to the scFv24 by PCR using the forward primers VTS5': 5'-GCC GAA TTC ATA TGG CAA ACT TCT CTG AAT CTA AGT CCA TGA TGG CAG TTT TCT TCA TGT TTT TCC TTC TCC TTT C -3' (SEQ ID NO. 31) and

46

VTS3': 5'-ATG TTT TTC CTT CTT CTC CTT TCA TCT AGC TCT TCA AGC

TCT TCA TCT TCC ATG GGA CAA ATT GTT CTC ACC CAG TCC C-3' (SEQ

ID NO. 32), which introduce a 5' EcoRI and NdeI and a NcoI

restriction site at the 3' end of the vacuolar targeting

sequence. scFv24CW (Zimmermann et al., 1998) was used as

template and a pUC specific oligo as a backward primer. The

NdeI and HindIII restricted PCR fragment was subcloned into

scFv24CW. The scFv24, cmyc and his6 containing NcoI/HindIII

fragment was replaced by an identical but already sequenced

fragment. The subsequent ligation of the EcoRI/SalI fragment

into the plant expression vector pSS containing a C-terminal

c-myc and his6 sequence resulted in the final expression

construct pscFv24-vTS.

# 15 Construction of pscFv24-CTS:

The plant codon optimized (for rice, wheat and tobacco) Nterminal chloroplast targeting signal of the structural gene
for granule-bound starch synthase of potato (van der Leij et
al., Mol Gen Gen 1991, 228: 240-248) was added to the scFv24
by PCR using four forward primers: PrimCTS1: 5'-GCC GAA TTC
ATA TGG CAT CTA TCA CTG CTT CTC ACC ACT TTG TGT CTA GGT CTC
AAA CTT CTC TTG ACA CC-3' (SEQ ID NO. 33), PrimCTS2: 5'-GGT
CTC AAA CTT CTC TTG ACA CCA AAT CTA CCT TGT CTC AGA TCG GAC
TCA GGA ACC ATA CTC TTA CTC AC-3' (SEQ ID NO. 34), PrimCTS3:
5'-TCA GGA ACC ATA CTC TTA CTC ACA ATG GTT TGA GGG CTG TTA
ACA AGC TCG ATG GTC TCC AAT CTA GAA C-3' (SEQ ID NO. 35),

PrimCTS4: 5'-CTC GAT GGT CTC CAA TCT AGG ACT AAT ACT AAG GTC ACC CCT AAG ATG GCA TCT AGG ACT GAG ACC AAG AGG C-3' (SEQ ID NO. 36), and PrimCTS5: 5'-GCA TCT AGG ACT GAG ACC AAG AGG CCA GGA TGC TCT GCT ACC ATT GTT TGC GCC ATG GGA CAA ATT GTT CTC 5 ACC CAG TCT C-3' (SEQ ID NO. 37), which introduce 5' EcoRI and 5' NdeI restriction sites and a NcoI restriction site at the 3' end of the chloroplast targeting sequence. scFv24CW (Zimmermann et al., 1998) was used as template and a pUC specific oligo as a backward primer. The amplified PCR product was digested wtih NdeI and HindIII and subcloned into 10 scFv24CW. The scFv24, c-myc and his6 containing NcoI/HindIII fragment was replaced by an identical but already sequenced fragment. The construct was digested with EcoR1 and Sall and the EcoRI/SalI fragment containing the scFv sequence was 15 subsequently ligated into the plant expression vector pSS containing a C-terminal c-myc and his6 sequence resulted in the final expression construct pscFv24-CTS.

Construction of plasmids encoding the SigA components

20

A human/mouse hybrid kappa chain was assembled as follows.

An XhoI/HindIII fragment containing the Guy's light variable region, and a HindIII/EcoRI fragment containing the human

25 kappa constant region were ligated together with the native mouse heavy chain leader sequence (muLPH) into a pUC19

48

plasmid containing the maize ubiquitin 1 promoter, intron 1 and the NOS termination sequence to give the final expression construct.

5 A KpnI/EcoRI fragment containing the human J chain was ligated into a pUC19 plasmid containing the maize ubiquitin 1 promoter, intron 1 and the NOS termination sequence.

Construction of Fab24 and F(ab) 2 24

10

Splice overlap extension (SOE) PCR was used to obtain Fab fragments.

Fusion oligonucleotides 5'- C TGT CCT CCA TGA GCT CAG CAC

CCA CAA AAC -3' (31 mer) (SEQ ID NO. 38) and 5'- GTG CTG AGC

TCA TGG AGG ACA GGG GTT GAT -3' (30 mer) (SEQ ID NO. 39) were

used for the SOE of the mouse IgG2b hinge domain and of the

3'-UT of mouse IgG2b in order to obtain Fab-fragments. The

final SOE product contains one S-S-bridge (1. cys of the

hinge) to the mouse kappa light chain. The second cysteine

residue was converted to a TGA stop codon. This

oligonucleotide represents the (+) strand and can be used as a

backward primer in a PCR to amplify the mouse 3'-UT of IgG2b.

The overlap to the mouse hinge domain is 22 bp.

25

To obtain F(ab), fragments, fusion oligonucleotides

5'-A TGC AAG GAG TGA GCT CAG CAC CCA CAA AGC-3' (31 mer) (SEQ ID NO. 40) and 5'-TG CTG AGC TCA CTC CTT GCA TGG AGG ACA G-3' (30 mer) (SEQ ID NO. 41) were used for the SOE of the mouse IgG2b hinge domain and of the 3'-UT of mouse IgG2b in order to obtain F(ab')<sub>2</sub> fragments. The final SOE product contains two S-S-bridges (1. cys of the hinge to the mouse kappa light chain and the second to the IgG2b heavy chain). The third cys residue was converted to a TGA stop codon. This oligonucleotide represents the (+) strand and can be used as a backward primer in a PCR to amplify the mouse IgG2b in order to obtain mouse F(ab')<sub>2</sub>. The overlap to the mouse hinge domain is 21 bp.

The modified cDNA-Fab and F(ab)<sub>2</sub> fragments were fused to the chalcone synthase (CHS) 5'UTR and subcloned into the plant expression vector pSS, containing the enhanced 35S promoter and CaMV termination signal.

### J Chain

20 A Kpn I/EcoR I fragment containing the human J chain was ligated to pMON530. Cloning was confirmed by restriction digest and by PCR analysis.

### Secretory Component

25

Full length native human secretory component was assembled

50

from three sequenced fragments, HuSC2, HuSC3a and the 5' portion of HuSC (up to the first Acc I site). First, the plasmid containing HuSC was cut with Kpn and religated, to remove the Acc I and EcoR I sites in the vector polylinker.

- This was confirmed by restriction digest. Plasmids containing HuSC2 and HuSC3 were digested with Xma I and EcoR I, ligated, and selected on chloramphenicol (only one of the two original plasmids was chloramphenicol resistant). Fusion of HuSC2 and HuSC3a was confirmed by restriction digest. An
- Acc I/EcoR I fragment from the HuSC2/3a clone was used to replace the corresponding fragment in the HuSC clone. The assembled clone was thus made of fully sequenced subfragments, contained Kpn I and Nco I sites at the 5' end, an EcoR I site at the 3' end, and no internal Kpn I sites.
- The re-assembled Kpn I/EcoR I fragment was ligated to pMON530. Clones were screened by restriction digests.

  Correct assembly was confirmed by additional restriction digests.

20

Gamma/Alpha Heavy Chain

A human/mouse hybrid heavy chain was assembled as follows. Plasmids containing the IgG1  $C_H1-C_H2$  domains (pHUG) and the Guy's 13 heavy variable region (pGuyHV-2) were both cut with Apa I. A fragment containing the IgG1  $C_H1-C_H2$  domains was

ligated to the Apa I cut pGuyHV-2. Clones were screened by restriction digest. The resulting hybrid was called pGUY/HUG.

5 Clones pHuA2 and pHuA3, containing fragments HuA2 and HuA3 respectively, were cut with BspE I and Sac II. The insert fragment released from pHuA3 was ligated to the linearized pHuA2, fusing the  $C_{\rm H}2-C_{\rm H}3$  encoding domains together. Assembly was confirmed by restriction digest. The resulting hybrid 10 was called pHuA2/3.

Plasmid pHuA2/3 was cut with Hind III and Sma I. Plasmid pGUY/HUG was cut with Hind III and Hinc II. The Hua2/3 fragment was ligated to the linearized pGUY/HUG. Correct assembly was confirmed by restriction digests. The resulting clones contain the complete hybrid (glycosylated) heavy chain. The entire cassette was cut out as a Kpn I/Eco RI fragment and cloned into pMON530.

### 20 DISCUSSION

The results show that the 5'UTR's, the petunia chalcon synthase and viral omega sequences, are functional in wheat and rice, also the TMV 3'UTR. The mammalian leader peptide sequences, both heavy and light chain, are shown by the results to be functional in cereal callus, leaves and seeds. Use of the ER retention signal produced a higher level of

52

antibody than in the apoplasm. Within the constructs carrying KDEL, CL84.66KP (Construct 4) and OL84.66KP (Construct 6) led to better production levels than analogous constructs containing the murine heavy chain leader peptide, providing indication of advantageous use of leader peptide influencing production level of the expression product in rice.

With the scFv24, expressed in rice callus and plants,

10 pscFv24, lacking any 5' leader peptide or 3' signal sequence,
did not provide scFv24 at a level detectable using ELISA. A

construct containing the gene for scFv including the murine
leader peptide (of the light chain) gave detectable levels of
scFv24 in transgenic callus lines, although below 200ng/g.

15 The construct additionally containing a 3' KDEL sequence
yielded the highest levels of scFv, up to 42066 ng/g, range

300-42066 ng/g.

TABLE 1
Constructs containing forms of T84.66.

E	<u>Nr</u>	Promoter	cDNA construct	<u>ter</u>	
5	1	ubiquitin	5'UTR(CHS)-muLPH*-scFv84.66-His6-3'UTR(PW-TMV)	NOS	
	2	ubiquitin	abbreviation: CH84.66HP  5'UTR(CHS)-muLPL*-scFv84.66-His6-3'UTR(PW-TMV)	NOS	
10	3	ubiquitin	abbreviation: CL84.66HP  5'UTR(Ome)-muLPH*-scFv84.66-KDEL-3'UTR(PW-TMV)	NOS	
	4	ubiquitin	abbreviation: OH84.66KP  5'UTR(CHS)-muLPL*-scFv84.66-KDEL-3'UTR(PW-TMV)	NOS	
·15 -	5	ubiquitin	abbreviation: CL84.66KP  5'UTR(CHS)-muLPH*-scFv84.66-KDEL-3'UTR(PW-TMV)	NOS	
	6	ubiquitin	abbreviation: CH84.66KP 5'UTR(Ome)-muLPL*-scFv84.66-KDEL-3'UTR(PW-TMV)	NOS	
	7	2×35S	abbreviation: OL84.66KP  5'UTR(CHS)-muLPH*-scFv84.66-KDEL-3'UTR(PW-TMV)	35S 35S	
	8	2x35S	5'UTR(Ome)-muLPL*-muVL-huCL-3'UTR(PW-TMV)		
20	9	2x35S	5'UTR(Ome)-muLPH*-muVH-huCH-3'UTR(PW-TMV)		
	10	2x35S	5'UTR(Ome)-muLPH*-muVH-huCH-KDEL-3'UTR(PW-TMV)	35\$	
	UTR	unt	ranslated region		
	CHS 5'UT		TR of chalcon synthetase		
25	Ome	Ome	ga sequence of TMV (5'-translational enhancer)		
			ine leader peptide		
	LPH* heav		y chain leader peptide of α-TMV mAB24, codon		
		opt	imised for tobacco, pea + wheat		
	LPL*	lig	ht chain leader peptide of $lpha-TMV$ mAb24,	codon	

PCT/US99/13584

		optimised for tobacco, pea + wheat				
	scFv	single chain Fv fragment				
	84.66	$\alpha\text{-CEA}$ antibody T84.66 (binds to A3 domain with high				
		affinity)				
5	His6	histidine 6 for Ni-NTA based affinity chromatography				
	KDEL	C-terminal KDEL motif to enable ER-retention (leads to				
		increased protein accumulation)				
	stop	stop codon				
	PW	pseudoknot region of TMV-wildtype 3'UTR (potential				
10		transcriptional and translational enhancer)				
	TMV	tobacco mosaic virus				
	ubiquitin	ubiquitin 1 promoter and intron from maize				
	2x35S	enhanced 35S promoter from cauliflower mosaic virus				
	NOS	terminator from the Nopaline synthase gene of				
15		Agrobacterium				
	35S	terminator from cauliflower mosaic virus				
	muVL	murine light chain variable region				
	muVH	murine heavy chain variable region				
	huCL	human light chain constant region				
20	huCH	human heavy chain constant regions				

55

TABLE 2

Results of experiments using the cassettes shown in Table 1 to express the scFv84.66 in rice callus and leaves. The ubiquitin promoter and the NOS pA were used throughout.

	Expression cassette	callus	<u>leaf</u>	<u>seed</u>	
		mean ng/g	mean ng/g	mean ng/g	
10	construct 1	129	59	110	
	construct 2	n.d.	61	n.d.	
	construct 3	762	1250	n.d.	
	construct 4	1663	3030	2800	
	construct 5	758	10460	10050	
15	construct 6	1229	1460	n.d.	
	construct 7	n.d.	8930	n.d.	

56

TABLE 3

Functional expression of T84.66 able to bind its antigen detected by ELISA in rice callus, leaves and seeds.

	Expression cassette	callus	<u>leaf</u>	<u>seed</u>	
		(ng/g)	(ng/g)	(ng/g)	
	Construct 8+9	100-250	250	200-300	
10	Construct 8+10	100-300	280	200-390	

TABLE 4
Constructs containing forms of rAb24

15	Nr	Promoter	CDNA construct	<u>ter</u>
	11	2x35S 2x35S	5'UTR(CHS)-muLPL-VL24-CL-3'UTR 5'UTR(CHS)-muLPH-VH24-CH1-3'UTR	35S 35S
20	12	2x35S 2x35s	(The two cassettes are in tandem)  5'UTR(CHS)-muLPL-VH24-CL-3'UTR  5'UTR(CHS)-muLPH-VH24-CH1(2cys)-3'UTR  (The two cassettes are in tandem)	35S 35S
	13 14	2x35S 2x35S	5'UTR(CHS)-muLPL-VL24-VH24-VL29-VH29-KDEL 5'UTR(Ome)-muLPL-CP-scFv24-KDEL-3'UTR(PW-TMV)	35S 35S
25	СР	coat prot	ein of TMV	

### CLAIMS:

- A monocotyledonous plant cell or seed containing a
  mammalian polypeptide produced by expression within the cell or
  seed from an expression cassette comprising a coding sequence
  for the polypeptide, in which plant cell or seed there is an
  additional feature selected from the group consisting of:
  - (a) the polypeptide is expressed fused to an endoplasmic reticulum (ER) retention signal;
- (b) the coding sequence for the polypeptide is preceded in the expression cassette by 5' untranslated leader sequence (5'UTR);
  - (c) the polypeptide is expressed fused to a leader peptide;
  - (d) any combination of two of (a), (b) and (c); and
- (e) a combination of (a), (b) and (c).
  - A plant cell or seed according to claim 1 wherein the retention signal is a peptide with the amino acid sequence KDEL (SEQ. ID NO. 2) or HDEL (SEQ. ID NO. 4).

- 3. A plant cell or seed according to claim 1 wherein the 5'UTR is a chalcone synthase 5'UTR.
- 4. A plant cell or seed according to claim 3 in which the expression cassette comprises the following 5'UTR sequence:
  - 5'-GAATTCACAACACAAATCAGATTTA**T**AGAGAGATTTA

58

# TAAAAAAAAAAAAACATATG-3' (SEQ. ID NO. 7).

5. A plant cell or seed according to claim 1 wherein the 5'UTR is a TMV omega gene 5'UTR.

5

- 6. A plant cell or seed according to claim 5 wherein the 5'UTR has the following RNA sequence:

10

- 7. A plant cell or seed according to claim 1 wherein the leader peptide is a mammalian leader peptide.
- 8. A plant cell or seed according to claim 7 wherein the leader peptide is an immunoglobulin light or heavy chain leader peptide.
  - 9. A plant cell or seed according to claim 1 wherein the leader peptide is a vacuole targeting signal

- 10. A plant cell or seed according to claim 1 wherein the leader peptide is a chloroplast targeting signal
- 11. A plant cell or seed according to claim 1 wherein the 25 leader peptide causes transport into protein bodies.

59

- 12. A plant cell or seed according to claim 1 which is a rice cell or seed.
- 13. A plant cell or seed according to claim 1 which is a wheat 5 cell or seed.
- 14. A cereal plant cell or seed containing a mammalian protein produced by expression within the cell or seed from an expression cassette comprising a coding sequence for the protein.
  - 15. A plant cell or seed according to claim 14 that is rice or wheat.
- 15 16. A plant cell or seed according to claim 1 wherein an antibody molecule is produced within the cell or seed.

- 17. A plant cell or seed according to claim 16 wherein the antibody molecule is a single chain Fv antibody fragment.
- 18. A plant cell or seed according to claim 16 wherein the antibody molecule is a two-chain or multi-chain complex which comprises a plurality of polypeptides and is selected from the group consisting of Fv, Fab,  $F(ab)_2$ , diabody, dimeric scFv, whole antibody and four-chain secretory antibody.

- 19. A plant cell or seed according to claim 18 wherein each polypeptide in said plurality of polypeptides is expressed from a separate expression vector within the cell or seed.
- 20. A plant cell or seed according to claim 19 wherein the antibody molecule is a four-chain secretory antibody and each of the four chains is expressed from a separate expression vector within the cell or seed.
- 10 21. A plant cell or seed according to claim 1 wherein the cell or seed is actively producing the polypeptide.
  - 22. A suspension culture or callus culture comprising a plant cell according to 21.
  - 23. A plant cell or seed according to claim 1 comprised in a plant or plant part.
- 24. A plant or plant part comprising a plant cell or seed according to claim 1.

- 25. A method of making a moncotyledonous plant cell comprising an expression cassette as claimed in claim 1, the method comprising:
- 25 (i) introducing into a plant cell a nucleic acid suitable for transformation of a plant cell and comprising the expression

61

cassette, and

(ii) causing or allowing recombination between the nucleic acid and the plant cell genome to introduce the expression cassette into the genome.

5

- 26. A method according to claim 25 wherein the plant cell is transformed with a plurality of vectors, each of the plurality of vectors comprising an expression cassette comprising a coding sequence for a different polypeptide of a multi-chain complex which comprises a plurality of polypeptides, wherein on production of the polypeptides by expression within the plant cell or descendants thereof the multi-chain complex is formed.
- 27. A method according to claim 26 wherein the plant cell is transformed with four vectors, each vector encoding a different polypeptide chain of a four-chain secretory antibody, wherein on production of the polypeptides by expression within the plant cell or descendants thereof the secretory antibody is formed.
- 20 28. A method according to claim 25 further comprising growing plant cells in plant cell culture to produce the mammalian polypeptide.
- 29. A method according to claim 28 further comprising isolating 25 and/or purifying the mammalian polypeptide from the plant cell ulture.

62

30. A method of making a plant, the method comprising:

- (i) making plant cells according to claim 25, and
- (ii) regenerating a plant from said plant cells or descendants thereof.

5

- 31. A method according to claim 30 further comprising growing plants to produce the mammalian polypeptide.
- 32. A method according to claim 31 further comprising isolating and/or purifying the mammalian polypeptide from the plants or parts of the plants.
  - 33. Use of an expression construct comprising a coding sequence for a mammalian polypeptide, in which expression cassette there is an additional feature selected from the group consisting of:
  - (a) a sequence coding for an endoplasmic reticulum (ER) retention signal and providing for expression of the polypeptide fused to the endoplasmic reticulum retention signal;
- (b) the coding sequence for the polypeptide is preceded in 20 the expression cassette by 5' untranslated leader sequence (5'UTR);
  - (c) a sequence coding for a leader peptide and providing for expression of the polypeptide fused to a leader peptide;
    - (d) any combination of two of (a), (b) and (c); and
- (e) a combination of (a), (b) and (c);
  for production of transgenic plant cells which produce the

polypeptide.

- 34. Use of an expression construct comprising a coding sequence for a mammalian polypeptide, in which expression cassette there is an additional feature selected from the group consisting of:
- (a) a sequence coding for an endoplasmic reticulum (ER) retention signal and providing for expression of the polypeptide fused to the endoplasmic reticulum retention signal;
- (b) the coding sequence for the polypeptide is preceded in the expression cassette by 5' untranslated leader sequence (5'UTR);
  - (c) a sequence coding for a leader peptide and providing for expression of the polypeptide fused to a leader peptide;
    - (d) any combination of two of (a), (b) and (c); and
- (e) a combination of (a), (b) and (c);
  for production of transgenic plants comprising cells or seed
  which produce the polypeptide.

FIGURE 1

eader Ge	ne of interest	sig	3'UTR	рA	
					ļ

•

PCT/US99/13584 WO 99/66026

### SEQUENCE LISTING

- GENERAL INFORMATION: (1)
  - Paul CHRISTOU; Eva STROGER; Rainer FISCHER; Carmen (i) APPLICANT: MARTIN-VAQUERO; Stefan SCHILLBERG; Julian K-C MA
- METHODS AND MEANS FOR EXPRESSION OF (ii) TITLE OF INVENTION: MAMMALIAN POLYPEPTIDES IN MONOCOTYLEDONOUS PLANTS
  - (iii) NUMBER OF SEQUENCES: 41
  - (iv) CORRESPONDENCE ADDRESS:

    - (A) ADDRESSEE: Fulbright & Jaworski L.L.P.
    - (B) STREET:
- 666 Fifth Avenue New York City
- (C) CITY: (D) STATE:
- New York
- (E) COUNTRY:
- USA
- (F) ZIP:
- 10103
- (v) COMPUTER READABLE FORM:
  - (A) MEDIUM TYPE: Diskette, 3.25 inch, 1.44mb
  - (B) COMPUTER: IBM PS/2
  - (C) OPERATING SYSTEM: PC-DOS
  - (D) SOFTWARE: Wordperfect
- (vi) CURRENT APPLICATION DATA:
  - (A) APPLICATION NUMBER: To Be Assigned
  - (B) FILING DATE: Concurrently Herewith
  - (C) CLASSIFICATION: 435
- (vii) PRIOR APPLICATION DATA:
  - (A) APPLICATION NUMBER: 60/089,322
  - (B) FILING DATE: June 15, 1998
- (viii) ATTORNEY/AGENT INFORMATION:
  - (A) NAME: Mary Anne Schofield
  - (B) REGISTRATION NUMBER: 36,669
  - (C) REFERENCE/DOCKET NUMBER: KL/JIC 202.1 PCT JEL
- (ix) TELECOMMUNICATION INFORMATION:
  - (A) TELEPHONE: (212) 318-3000

  - (B) TELEFAX: (212) 752-5958

- (2) INFORMATION FOR SEQ ID NO: 1:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 12
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 1:

AAAGATGAGC TC 12

- (2) INFORMATION FOR SEQ ID NO: 2:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 4
    - (B) TYPE: amino acid
    - (D) TOPOLOGY: linear
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 2:

Lys Asp Glu Leu

- (2) INFORMATION FOR SEQ ID NO: 3:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 12
    - (B) TYPE: nucleic acid
    - (C) STRANDEDNESS: single
    - (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 3:

CATGATGAGC TC 12

- (2) INFORMATION FOR SEQ ID NO: 4:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 4
    - (B) TYPE: amino acid
    - (D) TOPOLOGY: linear
  - (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 4:

His Asp Glu Leu

- (2) INFORMATION FOR SEO ID NO: 5:
  - (i) SEQUENCE CHARACTERISTICS:
    - (A) LENGTH: 5
    - (B) TYPE: amino acid
    - (D) TOPOLOGY: linear

2 / 11

\_ . . . . .

(X1) SEQUENCE DESCRIPTION: SEQ 1D NO: 5:	
Gly Gly Gly Ser 5	
(2) INFORMATION FOR SEQ ID NO: 6:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 4  (B) TYPE: amino acid  (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 6:	
Arg Gly Ser Glu	
(2) INFORMATION FOR SEQ ID NO: 7:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 57  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 7:	
GAATTCACAA CACAAATCAG ATTTATAGAG AGATTTATAA AAAAAAAAAA	57
(2) INFORMATION FOR SEQ ID NO: 8:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 71  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 8:	
GUAUUUUUAC AACAAUUACC AACAACAACA AACAACAAAC AACAUUACAA UUACUAUUUA	60
CAAUUACAAT G	71
(2) INFORMATION FOR SEQ ID NO: 9:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 70  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	

WO 99/66026	PCT/US99/13584
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 9:	
GUAUUUUUAC AACAAUUACC AACAACAACA ACAACAACAA CAUUACAAUU ACUAUU	UACA 60
AGGACCAUGG	. 70
(2) INFORMATION FOR SEQ ID NO: 10:	
(i) SEQUENCE CHARACTERISTICS:	
<ul><li>(A) LENGTH: 34</li><li>(B) TYPE: nucleic acid</li></ul>	
(C) STRANDEDNESS: single	
(D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 10:	
GCCGAATTCC ATGGACGTCG AGCTGACCCA GTCT	34
(2) INFORMATION FOR SEQ ID NO: 11:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 42	
(B) TYPE: nucleic acids	
<ul><li>(C) STRANDEDNESS: single</li><li>(D) TOPOLOGY: linear</li></ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 11:	
CTTTCCGGAA CCACTAGTAG AGCCTTTTAT CTCCAGCTTG GT	42
(2) INFORMATION FOR SEQ ID NO: 12:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 48	
<ul><li>(B) TYPE: nucleic acids</li><li>(C) STRANDEDNESS: single</li></ul>	•
(C) STRANDEDNESS: SINGLE (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 12:	
	• •
GGTTCCGGAA AGAGCTCTGA AGGTAAAGGT GAGGTCCAGC TGCAGCAG	4.8
(2) INFORMATION FOR SEQ ID NO: 13:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 34	
(B) TYPE: nucleic acid	
<pre>(C) STRANDEDNESS: single (D) TOPOLOGY: linear</pre>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 13:	
GCCTCTAGAC GTCGACTGCA GAGACAGTGA CCAG	3-

PCT/US99/13584 WO 99/66026 INFORMATION FOR SEQ ID NO: 14: (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 50 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 14: 50 GCACACCCGA ATTCGGGCCC GGGCATATGC AAATTGTTCT CACCCAGTCT INFORMATION FOR SEQ ID NO: 15: (2) (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 30 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 15: 30 CTGGAAATAA AAACTGTGGC TGCACCATCT INFORMATION FOR SEQ ID NO: 16: (2) (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 24 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 16: 24 GCCAAGCTTT TTGCAAAGAT TCAC INFORMATION FOR SEQ ID NO: 17: (2) (i) SEQUENCE CHARACTERISTICS: (A) LENGTH: 30 (B) TYPE: nucleic acid (C) STRANDEDNESS: single (D) TOPOLOGY: linear

30

(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 17:

ACCGTCTCCT CAGCCTCCAC CAAGGGCCCA

Ÿ	VO 99/66026	PCT/US99/13584
(2)	INFORMATION FOR SEQ ID NO: 18:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 30  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 18:	
GCCA	AGCTTG GATCCTTGGA GGGGCCCAGG	30
(2)	INFORMATION FOR SEQ ID NO: 19:	
	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 27</li><li>(B) TYPE: nucleic acid</li><li>(C) STRANDEDNESS: single</li><li>(D) TOPOLOGY: linear</li></ul>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 19:	
GGCG	AATTCA TGGAGACAGA CACACTC	27
(2)	INFORMATION FOR SEQ ID NO: 20:	
	(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 30  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 20:	
AGCC	ACAGTT TTTATTTCCA GCTTGGTCCC	30
(2)	INFORMATION FOR SEQ ID NO: 21:	
	<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 27</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
	(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 21:	
GGCGZ	AATTCA TGAAATGCAG CTGGGTT	27
(2)	INFORMATION FOR SEQ ID NO: 22:	
	<ul><li>(i) SEQUENCE CHARACTERISTICS:</li><li>(A) LENGTH: 30</li><li>(B) TYPE: nucleic acid</li><li>(C) STRANDEDNESS: single</li></ul>	

WO 99/00020	
(D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 22:	
GGTGGAGGCT GAGGAGACGG TGACTGAGGT	30
(2) INFORMATION FOR SEQ ID NO: 23:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 39</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 23:	
GCGGAATTCG TAATCGATCC CGGGGGTAAC CGCGGTACC	39
(2) INFORMATION FOR SEQ ID NO: 24:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 30</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 24:	
GCGGACGTCG CTATGAGACT GGGTGGGCCC	30
(2) INFORMATION FOR SEQ ID NO: 25:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 43</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 25:	
GCGGAATTCG GCCACCATGG CCCAAATTGT TCTCACCCAG TCT	43
(2) INFORMATION FOR SEQ ID NO: 26:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 30</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul> (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 26:	
/VT\ OTKOTION ======	

GCGATCGATT GCAGAGACAG TGACCAGAGT

30

WO 99/66026	PCT/US99/13584
(2) INFORMATION FOR SEQ ID NO: 27:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 77</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 27:	
ACGCTCTAGA GCTCATCTTT CTCAGATCCA CGAGAACCTC CACCTCCGTC GACTGCA	AGAG 60
ACAGTGACCA GAGTCCC	77
(2) INFORMATION FOR SEQ ID NO: 28:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 26</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul> (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 28:	
ACTGCGCCAT GGCTTACAGT ATCACT	26
(2) INFORMATION FOR SEQ ID NO: 29:  (i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 72  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 29:	
CCGTCAGACG TCAGAACCTC CACCTCCACT TCCGCCGCCT CCAGTTGCAG GACCAGA	GGT 60
CCAAACCAAA CC	72
(2) INFORMATION FOR SEQ ID NO: 30:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 88</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 30:	
CCCTCACTCG AGTTTAGAGC TCATCTTTCT CAGATCCACG AGCGGCCGCA GAACCTCC	CAC 60
CTCCGTCGAC TGCAGAGACA GTGACCAG	88

WO 99/66026

8 / 11

(2) INFORMATION FOR SEQ ID NO: 31:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 79  (B) TYPE: nucleic acid  (C) STRANDEDNESS: single  (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 31:	
GCCGAATTCA TATGGCAAAC TTCTCTGAAT CTAAGTCCAT GATGGCAGTT TTCTTCATGT	60 79
TTTTCCTTCT TCTCCTTTC	79
(2) INFORMATION FOR SEQ ID NO: 32:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 79</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 32:	
ATGTTTTTCC TTCTTCTCT TTCATCTAGC TCTTCAAGCT CTTCATCTTC CATGGGACAA	60
ATTGTTCTCA CCCAGTCCC	79
(2) INFORMATION FOR SEQ ID NO: 33:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 71</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	•
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 33:	
GCCGAATTCA TATGGCATCT ATCACTGCTT CTCACCACTT TGTGTCTAGG TCTCAAACTT	60
CTCTTGACAC C	71
(2) INFORMATION FOR SEQ ID NO: 34:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 71</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 34:	
GGTCTCAAAC TTCTCTTGAC ACCAAATCTA CCTTGTCTCA GATCGGACTC AGGAACCATA	60
CTCTTACTCA C	71

WO 99/66026	PCT/US99/13584

(2) INFORMATION FOR SEQ ID NO: 35:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 70	
(B) TYPE: nucleic acid	
(C) STRANDEDNESS: single (D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 35:	
TCAGGAACCA TACTCTTACT CACAATGGTT TGAGGGCTGT TAACAAGCTC GATGGTCTCC	60
AATCTAGAAC	70
(2) INFORMATION FOR SEQ ID NO: 36:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 73 (B) TYPE: nucleic acid	
(C) STRANDEDNESS: single	
(D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 36:	
CTCGATGGTC TCCAATCTAG GACTAATACT AAGGTCACCC CTAAGATGGC ATCTAGGACT	60
GAGACCAAGA GGC	73
(2) INFORMATION FOR SEQ ID NO: 37:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 82	
(B) TYPE: nucleic acid (C) STRANDEDNESS: single	
(D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 37:	
GCATCTAGGA CTGAGACCAA GAGGCCAGGA TGCTCTGCTA CCATTGTTTG CGCCATGGGA	60
CAAATTGTTC TCACCCAGTC TC	82
(2) INFORMATION FOR SEQ ID NO: 38:	
(i) SEQUENCE CHARACTERISTICS:	
(A) LENGTH: 31 (B) TYPE: nucleic acid	
(C) STRANDEDNESS: single	
(D) TOPOLOGY: linear	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 38:	
CTGTCCTCCA TGAGCTCAGC ACCCACAAAA C	31

PCT/US99/13584

#### WO 99/66026

(2) INFORMATION FOR SEQ ID NO: 39:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 30</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 39:	
GTGCTGAGCT CATGGAGGAC AGGGGTTGAT	30
(2) INFORMATION FOR SEQ ID NO: 40:	
<ul> <li>(i) SEQUENCE CHARACTERISTICS:</li> <li>(A) LENGTH: 31</li> <li>(B) TYPE: nucleic acid</li> <li>(C) STRANDEDNESS: single</li> <li>(D) TOPOLOGY: linear</li> </ul>	
(xi) SEQUENCE DESCRIPTION: SEQ ID NO: 40:	
ATGCAAGGAG TGAGCTCAGC ACCCACAAAG C	31
(2) INFORMATION FOR SEQ ID NO: 41:	
(i) SEQUENCE CHARACTERISTICS:  (A) LENGTH: 30  (B) TYPE: nucleic acid	

- (C) STRANDEDNESS: single
- (D) TOPOLOGY: linear
- (xi) SEQUENCE DESCRIPTION: SEQ ID NO: 41:

TGCTGAGCTC ACTCCTTGCA TGGAGGACAG

30

# This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:
BLACK BORDERS
☐ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
☐ FADED TEXT OR DRAWING
BLURRED OR ILLEGIBLE TEXT OR DRAWING
☐ SKEWED/SLANTED IMAGES
COLOR OR BLACK AND WHITE PHOTOGRAPHS
☐ GRAY SCALE DOCUMENTS
LINES OR MARKS ON ORIGINAL DOCUMENT
☐ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY
Omygn

## IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.